

Suresh Rathi Securities (P) Ltd
1st Floor, Mahesh Hostel Complex
Opp. Bombay Motor.. Chopasani Road
Jodhpur 342003

Sub: Transmission

Dear Sir, Madam,

Please Note that Mr./Mrs. _____ Having BO ID
_____ has died on _____

I Mr. /Mrs. _____ am the First Holder/Second Holder/Third
Holder /Nominee, In respect of the aforesaid account

I request you for transmission of share laying in A/C No _____
to my DP A/C No _____ with Suresh Rathi Securities (P) Ltd.
having DP ID No.12101

The attested copies of Death Certificate and Transmission form are mare enclosed

You may close the Bo A/o of _____ after transferring the balance
in my account

All Transactions In The Account Are Authentic

Thanking You

**Suresh Rathi Securities Pvt. Ltd.**

Member : The Stock Exchange, Mumbai
National Stock Exchange of India
Trading Member : Derivative Segment NSE
Derivative Segment BSE
Participant : Central Depository Services (I) Ltd.



Mahesh Hostel Complex, Bombay Motors Circle
Chopasni Road, **JODHPUR** - 342 003 (Raj.)
Tel. : 27 97000 / 26 54000 Fax : 0291-2430913
E-Mail : info@sureshrathi.in
Web : www.sureshrathi.com

CIN U67120MH1997PTC108898

PAN: AABCS5554M

GST No.: 08AABCS5554M1ZX

Date:

Due Diligence Report for reporting Demise/Death of KYC holder to KRA Ref.:

Deceased person PAN:

We have received a demise intimation from joint account holder(s)/nominee(s)/legal representative/family member ('Notifier(s)'). We have examined the documents submitted and have prepared following due diligence report for demise intimation to the KRA system:

1. Verification of the details provided in the Death Certificate as ticked below:

- ☐ Death Certificate verified online.
- ☐ Death Certificate verified offline.
- ☐ Validation Report from ISC of Stock Exchange/ Depository attached.
- ☐ Death Certificate not provided.

2. Other details are as follows: -

Sr. No	Details	Description
1	Name of the Deceased Person :	
2	PAN of the Deceased Person:	
3	Notifier:	☐ Joint Holder(s) ☐ Registered Nominee(s) ☐ Legal Heir (s) ☐ Other:
4	Notifier Name:	
5	Notifier Relation to deceased person:	
6	Notifier PAN:	
7	Notifier Mobile number:	
8	Notifier Email Id:	
9	Notifier Address:	
10	Other Information:	

Thanking you,
For Intermediary Name
Suresh Rathi Securities Pvt. Ltd

TRANSMISSION REQUEST FORM

(In case of death of one / more of the joint holders) 12101

Application No.		Date	D	D	M	M	Y	Y	Y	Y
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(Please fill all the details in **Block Letters** in

To,

SURESH RATHI SEC. P. LTD

Mahesh Hostel Complex, Bombay Motor Circle, Chopasani Road, JODHPUR (Rajasthan) -342003

Dear Sir / Madam,

I / We, the joint holder(s) / Successors request you to **transmit** the securities balance from:

DP ID	1	2	0	1	2	1	0		Client ID								
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To

DP ID									Client ID								
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Due to the death of -----

----- (Name of the deceased account holder(s)).

Original Death Certificate / copy of Death Certificate (duly notarized / attested under seal by a Gazetted Officer) is attached herewith.

	First / Sole Holder	Second Holder
Name(s) of the surviving holder(s)		
Signature(s) of the surviving holder(s)		

===== (Please tear here) =====

Acknowledgement Receipt

Application No.

Date: -

We hereby acknowledge the receipt of the following instructions for transmission from:

DP ID									Client ID								
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To

DP ID									Client ID								
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Surviving Holder(s) Name(s)	
First/Sole Holder	Second Holder
Documents Submitted	

Subject to verification.

Depository Participants Seal & Signature