

Date:

To,

Suresh Rathi Securities (P) Ltd  
1<sup>st</sup> Floor, Mahesh Hostel Complex  
Opp. Bombay Motor.. Chopasani Road  
Jodhpur

**Sub: Transmission**

Dear Sir, Madam,

Please Note that Mr./Mrs. \_\_\_\_\_ Having BO ID  
\_\_\_\_\_ has died on \_\_\_\_\_

I Mr. /Mrs. \_\_\_\_\_ am the First Holder/Second Holder/Third  
Holder /Nominee, In respect of the aforesaid account

I request you for transmission of share laying in A/C No \_\_\_\_\_  
to my DP A/C No \_\_\_\_\_ with Suresh Rathi Securities (P) Ltd.  
having DP ID No.12101

The attested copies of Death Certificate and Transmission form are mare enclosed

You may close the Bo A/o of \_\_\_\_\_ after transferring the balance  
in my account

Thanking You

**ON THE LETTER HEAD OF INTERMEDIARY**

**Date:**

**Due Diligence Report for reporting Demise/Death of KYC holder to KRA**

**Ref.: Deceased person PAN:**

We have received a demise intimation from joint account holder(s)/nominee(s)/legal representative/family member ('**Notifier(s)**'). We have examined the documents submitted and have prepared following due diligence report for demise intimation to the KRA system:

1. Verification of the details provided in the Death Certificate as ticked below:

- ☐ Death Certificate verified online.
- ☐ Death Certificate verified offline.
- ☐ Validation Report from ISC of Stock Exchange/ Depository attached.
- ☐ Death Certificate not provided.

2. Other details are as follows: -

Sr. No	Details	Description
1	Name of the Deceased Person :	
2	PAN of the Deceased Person:	
3	Notifier:	<input type="checkbox"/> Joint Holder(s) <input type="checkbox"/> Registered Nominee(s) <input type="checkbox"/> Legal Heir (s) <input type="checkbox"/> Other:
4	Notifier Name:	
5	Notifier Relation to deceased person:	
6	Notifier PAN:	
7	Notifier Mobile number:	
8	Notifier Email Id:	
9	Notifier Address:	
10	Other Information:	

Thanking you,

For Intermediary Name

Suresh Rathi Securities Pvt.Ltd

Sanjay Dave Compliance Officer

**Name of Official:**

**Signature & Seal**



**TRANSMISSION REQUEST FORM**  
(In case of death of the sole holder)

Application No.		Date	D	D	M	M	Y	Y	Y	Y
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(Please fill all the details in **Block Letters** in English)

To,

SURESH RATHI SEC. P. LTD

Mahesh Hostel Complex, Bombay Motor Circle,

Chopasani Road, JODHPUR (Rajasthan) -342003

Dear Sir / Madam,

I/we, Nominee(s) / Successor/ Guardian of the successor or nominee(s) (in case the claimant is a Minor \_\_\_\_\_ Date of Birth of the minor\*) Relationship with the minor \_\_\_\_\_ request you to transmit the following securities due to the death of the sole account holder. Original Death Certificate / copy of Death Certificate (duly notarized / attested under seal by a Gazetted Officer) is attached herewith.

**\*Please attach relevant proof**

Name of the deceased BO:

Account Number of the deceased BO:

DP ID	1	2	0	1	2	1	0	Client ID							
Date of the Deceased Sole Holder															

Kindly transmit all securities in the deceased BO's account mentioned above to the BO account mentioned

below. Details of the Successor (s)

Sr. No	Name of the Successor (s)/Nominee / Legal Heir/Successor to the Estate of the deceased / Administrator of the Estate of the deceased	DP ID	Client ID
1			
2			
3			

Details of Transmission				
Sr. No	Name of the Security	ISIN	Quantity of securities to be transmitted	Percentage

Attach an annexure duly signed by the Nominee(s)/ Successor / Guardian of the successor or nominee(s) (in case of Minor), if the space above is insufficient.

(Nominees / Successor / Guardian of successor or nominee(s) (in case of Minor)

	Nominee(1) Successor/Guardian of successor/Nominee	Nominee(2) Successor/Guardian of successor/Nominee	Nominee(3) Successor/Guardian of successor/Nominee
Name			
Signature			