Execution of 'Demat Debit and Pledge Instruction' (DDPI) for transfer of securities towards deliveries / settlement obligations and pledging / re-pledging of securities.

PLEASE FILL ALL THE DETAILS IN BLOCK LETTERS IN ENGLISH & FILL SEPARATE REQUEST FOR NSDL & CDSL (Please mark (), on, the, appropriate, box),

PLEASE FILL ALL THE DETAILS IN BLOCK LETTERS IN ENGLISH & FILL SEPARATE REQUEST FOR NSDL & CDSL (Please, mark (*), on, the, appropriate, box).												
Client Name				Date :								
Client Name												
CDSL DP ID - 1. 2. 0.	1. 2. 1. 0	O.ID.		Client Code								
Dear. Sir/Madam,.												
I/We executing the Demat Debit and Pledge instruction in favor of Suresh Rathi Securities Pvt Ltd., authorizing them to operate aforesaid beneficiary account for the below mentioned, purpose.												
	Demat De	bit and Pled	ge Instructio	n	Annexure-A							
Purpose	Signature	е	Signature of HUF	Coparcner /	Any other Auth.Sign.							
Transfer of securities held.		Sno.	Name		Signature							
accounts. of the client towards Stock Exchange.	1st Holder	1.										

Purpose	Signature	re Signature of HUF Coparcner / Any							
Transfer of securities held. in., the, beneficial, owner		Sno.	Name	Signature					
accounts. of the client towards Stock Exchange.	1st Holder	1.							
related deliveries / settlement obligations	2nd Holder	2.							
arising, out, of, t rades, executed, by clients on, th.e. S.tock, E.x.ch, an, ge,		3.							
through, the same stock broker	3rd Holder	4.							
Pledging / re-pledging of securities in favor of		Sno.	Name	Signature					
trading. member. (TM). /. clearing. member. (CM). for.	1st Holder	1.							
the purpose of meeting margin requirements of		2.							
the clients in connection with the trades executed.	2nd Holder	3.							
by. the. clients. on. the. Stock. Exchange	3rd Holder	4.							
Mutual Fund transactions		Sno.	Name	Signature					
being executed on Stock Exchange order entry platforms.	1st Holder	1.							
p.a.a.m.c.	2nd Holder	2.							
	zna noider	3.							
	3rd Holder	4.							
Tendering shares in open offers through Stock		Sno.	Name	Signature					
Exchange platforms.	1st Holder	1.							
		2.							
	2nd Holder	3.							
	3rd Holder	4.							

Note: This, authorization, will, continue, to, remain, valid, until, revoked, in, writing, by, you, (persuant to, SEBI, Circular, no., SEBI/HO/MIRSD/DoP/P/CIR/. 2022/44.dated.April.04,2022). and SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2022/137.dt 6th. October,2022

I./.We.accept (For Suresh Rathi Securities Pvt Ltd.).

Authorized Signatory:

Note: Demat Debit and Pledge Instruction Document shall be effective from 19th November 2022 or from the date as prescribed in the circular.

SURESH RATHI SECURITIES PVT. LTD. 33

Annexure A - List of Demat Account

S.NO	DPID	CLIENT ID	DP NAME	ACCOUNT TYPE						
	TRA	NSFER/PLEDGE TH	E SECURITIES TO SURESH RATHI SEC	URITIES PVT LTD						
1	12012101	00071081	SURESH RATHI SECURITIES PVT LTD	BSE POOL ACCOUNT (CDSL)						
2	12012101	00071100	SURESH RATHI SECURITIES PVT LTD	NSE POOL ACCOUNT (CDSL)						
3	IN301803 (IN653382- CMBP ID)	10012647	ANAND RATHI SHARES & STOCK BROKERS LTD	BSE POOL ACCOUNT (NSDL)						
4	IN301803 (IN561348 – CMBP ID)	10012639	ANAND RATHI SHARES & STOCK BROKERS LTD	NSE POOL ACCOUNT (NSDL)						
5	12012101	00904694	SURESH RATHI SECURITIES PVT LTD	NSE SLBS POOL ACCOUNT (CDSL)						
6	11000010	00012769	INDIAN CLEARING CORPORATION LTD	BSE EARLY PAYIN ACCOUNT (CDSL)						
7	11000010	00015105	NATIONAL SECURITIES CLEARING CORPORATION LTD	NSE EARLY PAYIN ACCOUNT (CDSL)						
8	11000023	00000864	NATIONAL SECURITIES CLEARING CORPORATION LTD	NSE SLBM EARLY PAYIN ACCOUNT (CDSL)						
9	12012101	00892464	SURESH RATHI SECURITIES PVT LTD	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT (CDSL)						
10	IN301803	10035882	ANAND RATHI SHARES & STOCK BROKERS LTD	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT (NSDL)						
11	12012101	00893400	SURESH RATHI SECURITIES PVT LTD	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT (COLLATERAL) (CDSL)						

MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)										
☐ Jointly ☐ Anyone of the Holder										
Consent for Communication to be received by first account holder/ all Account holder: (Tick the applicable box.) If not marked the default option would be first holder .										
☐ First Holder	E-mail id									
	☐ Second Holder									
	☐ Third Holder									

Sole / First Holder's Signature	Second Holder's Signature	Thire Holder's Signature						
20 3								

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info@sureshrathi.in • www.sureshrathi.com

Signature * (As per DP)

Registered Office:

11 & 12 "A", Wing, Mithila Apartment, J. B. Nagar, Andheri (E), Mumbai – 400059.

Tel.: 022-28354000, Fax:022-28205533

Corporate Office:

Mahesh Hostel Complex, Opp. Bombay Motors, Chopasni Road, Jodhpur - 342003.

Tel.: 0291-27 97000, Fax: 0291-24 30913

Account Details Addition / Modification / Deletion Request Form

BOID	1	2	0	1	2	1	0													I	Date						
Trading	Code	:			PAN																						
Account Holder's Details																											
Name of	the	Sole	/ Fi	irst	Hold	er																					
Name of Second Joint Holder																											
Name of	Thir	d Jo	int H	Hol	der																						
Dear Sir / Madam, I / We request you to make the following additions / modifications / deletions to my / our Trading and Demat account in your records ☐ I/We request to carry out the change of address / signature in the demat account ☐ I/We request to carry out the change of address / signature in the KRA and demat account																											
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E-mail	ID																										
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(Please Specif	fy)															<u> </u>											
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					& I am Spouse Dependent child Dependent Parent I/We request you to update the same in my trading and Demat communication through SMS and EMAIL ID.																						
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					Sign of Mobile Holder Sign of En											,											
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