

Execution of 'Demat Debit and Pledge Instruction' (DDPI) for transfer of securities towards deliveries / settlement obligations and pledging / re-pledging of securities.

PLEASE FILL ALL THE DETAILS IN BLOCK LETTERS IN ENGLISH & FILL SEPARATE REQUEST FOR NSDL & CDSL (Please mark (✓) on the appropriate box).

Date :

Client Name _____

☐ CDSL DP ID - 1 2 0 1 2 1 0

BO ID -

Client Code -

Dear Sir/Madam,

I/We, executing the Demat Debit and Pledge instruction in favor of Suresh Rathi Securities Pvt Ltd., authorizing them to operate aforesaid beneficiary account for the below mentioned purpose.

Demat Debit and Pledge Instruction

Annexure-A

Purpose	Signature	Signature of HUF Coparcner / Any other Auth.Sign.		
Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	1st Holder	Sno.	Name	Signature
		1.		
	2nd Holder	2.		
		3.		
Pledging / re-pledging of securities in favor of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange	3rd Holder	4.		
Mutual Fund transactions being executed on Stock Exchange order entry platforms.	1st Holder	Sno.	Name	Signature
		1.		
	2nd Holder	2.		
		3.		
Tendering shares in open offers through Stock Exchange platforms	3rd Holder	4.		

Note : This authorization will continue to remain valid until revoked in writing by you (pursuant to SEBI Circular no. **SEBI/HO/MIRSD/DoP/P/CIR/2022/44 dated April 04, 2022**) and **SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2022/137 dt 6th October, 2022**

I/We accept (For Suresh Rathi Securities Pvt Ltd.).

Authorized Signatory:

Note: Demat Debit and Pledge Instruction Document shall be effective from 19th November 2022 or from the date as prescribed in the circular.

Annexure A - List of Demat Account

S.NO	DPID	CLIENT ID	DP NAME	ACCOUNT TYPE
TRANSFER/PLEDGE THE SECURITIES TO SURESH RATHI SECURITIES PVT LTD				
1	12012101	00071081	SURESH RATHI SECURITIES PVT LTD	BSE POOL ACCOUNT (CDSL)
2	12012101	00071100	SURESH RATHI SECURITIES PVT LTD	NSE POOL ACCOUNT (CDSL)
3	IN301803 (IN653382- CMBP ID)	10012647	ANAND RATHI SHARES & STOCK BROKERS LTD	BSE POOL ACCOUNT (NSDL)
4	IN301803 (IN561348 – CMBP ID)	10012639	ANAND RATHI SHARES & STOCK BROKERS LTD	NSE POOL ACCOUNT (NSDL)
5	12012101	00904694	SURESH RATHI SECURITIES PVT LTD	NSE SLBS POOL ACCOUNT (CDSL)
6	11000010	00012769	INDIAN CLEARING CORPORATION LTD	BSE EARLY PAYIN ACCOUNT (CDSL)
7	11000010	00015105	NATIONAL SECURITIES CLEARING CORPORATION LTD	NSE EARLY PAYIN ACCOUNT (CDSL)
8	11000023	00000864	NATIONAL SECURITIES CLEARING CORPORATION LTD	NSE SLBM EARLY PAYIN ACCOUNT (CDSL)
9	12012101	00892464	SURESH RATHI SECURITIES PVT LTD	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT (CDSL)
10	IN301803	10035882	ANAND RATHI SHARES & STOCK BROKERS LTD	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT (NSDL)
11	12012101	00893400	SURESH RATHI SECURITIES PVT LTD	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT (COLLATERAL) (CDSL)

MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)

☐ Jointly

☐ Anyone of the Holder

Consent for Communication to be received by first account holder/
all Account holder: (Tick the applicable box.)

If not marked the default option would be **first holder**.

☐ First Holder

☐ All Holder

E-mail id

☐ Second Holder

☐ Third Holder

Sole / First Holder's Signature	Second Holder's Signature	Thire Holder's Signature
		



Corporate Office:
Mahesh Hostel Complex,
Opp. Bombay Motors, Chopasni Road,
Jodhpur - 342003.
Tel. : 0291-27 97000, Fax: 0291-24 30913

	First / Sole Holder	Second Holder	Third Holder
Signature * (As per DP)			